

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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<b>In re</b>	:	<b>Chapter 11 Case No.</b>
	:	
<b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b>	:	<b>08-13555 (JMP)</b>
	:	
<b>Debtors.</b>	:	<b>(Jointly Administered)</b>
	:	
-----x		
		<b>Ref. Docket Nos. 10007, 10009 &amp; 10031</b>

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK   )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.

2. On July 13, 2010, I caused to be served a personalized "Notice of Defective Transfer" (the "Defective Transfer"), a sample of which is annexed hereto as Exhibit "A", by causing true and correct copies of the Defective Transfer, enclosed securely in separate postage pre-paid envelopes, to be delivered by first class mail to those parties listed on the annexed Exhibit "B". I also caused to be served a copy the Defective Transfers, enclosed securely in a separate postage pre-paid envelope to be delivered by first class mail to the party listed on the annexed Exhibit "C".

3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

Sworn to before me this  
14<sup>th</sup> day of July, 2010

/s/ Sidney J. Garabato

Notary Public, State of New York  
No. 01GA6218946  
Qualified in New York County  
Commission Expires March 15, 2014

/s/ Lauren Rodriguez  
Lauren Rodriguez

## **EXHIBIT A**

<p>In re</p> <p>LEHMAN BROTHERS HOLDINGS INC., et al.,</p> <p>Debtors.</p>	<p>Chapter 11 Case No.</p> <p>08-13555 (JMP)</p> <p>(Jointly Administered)</p>
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NOTICE OF DEFECTIVE TRANSFER

Transferor: THE ROYAL BANK OF SCOTLAND PLC  
TRANSFEROR: RBS SECURITIES JAPAN LIMITED  
600 STEAMBOAT ROAD  
GREENWICH CT 06830

Additional:

Transferee: THE ROYAL BANK OF SCOTLAND PLC  
600 STEAMBOAT ROAD  
GREENWICH CT 06830

Your transfer of claim # 44616-06 is defective for the reason(s) checked below:

Previously Transferred

Docket Number 10007

Date 07/02/10

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on July 13, 2010.

## **EXHIBIT B**

ADAR INVESTMENT FUND LTD.  
ADAR INVESTMENT MANAGEMENT LLC  
156 W. 56<sup>TH</sup> ST SUITE 801  
ATTN: AARON MORSE, COO  
NEW YORK, NY 10019

DEUTSCHE BANK AG, LONDON BRANCH  
ATTN: RICH VICHADITH  
60 WALL ST., 3<sup>RD</sup> FLOOR  
NEW YORK, NY 10005

MIZUHO SECURITIES CO LTD  
ATTENTION: CREDIT TRADING & DERIVATIVE  
PRODUCTS DEPARTMENT  
OTEMACHI FIRST SQUARE  
1-5-1, OTEMACHI  
CHIYODA-KU, TOKYO 100-0004  
JAPAN

MIZUHO SECURITIES CO LTD  
STROOCK & STROOCK & LAVAN LLP  
ATTENTION: SHERRY MILLMAN ESQ.  
180 MAIDEN LANE  
NEW YORK, NY 10038

THE ROYAL BANK OF SCOTLAND PLC  
TRANSFEROR: RBS SECURITIES JAPAN LIMITED  
600 STEAMBOAT ROAD  
GREENWICH, CT 06830

THE ROYAL BANK OF SCOTLAND PLC  
600 STEAMBOAT ROAD  
GREENWICH, CT 06830

## **EXHIBIT C**

Weil, Gotshal & Manges LLP  
Attn: Christopher A. Stauble  
767 Fifth Avenue  
New York, NY 10153